



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/715,035		11/17/2003	John J. Giobbi	MD-1-CIP2	2770
21186	· 7590	07/29/2005		EXAMINER	
	•	JNDBERG, WO	ZAND, KAMBIZ		
P.O. BOX 2938 MINNEAPOLIS, MN 55402-0938			ART UNIT	PAPER NUMBER	
	,			2132	

DATE MAILED: 07/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)											
	10/715,035	GIOBBI, JOHN J.											
Office Action Summary	Examiner	Art Unit											
	Kambiz Zand	2132											
The MAILING DATE of this communication appeariod for Reply	pears on the cover sheet with the c	orrespondence address											
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1, after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a repleted in the provision of the period for reply specified above, the maximum statutory period.  - Failure to reply within the set or extended period for reply will, by statute the period for reply will, by statute the period for reply will, by statute and patent term adjustment. See 37 CFR 1.704(b).	136(a). In no event, however, may a reply be tin ly within the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).											
Status	•												
1) Responsive to communication(s) filed on 16 h	1ay 2005.												
2a)⊠ This action is <b>FINAL</b> . 2b)□ This	s action is non-final.												
3) Since this application is in condition for allowa	nce except for formal matters, pro	secution as to the merits is											
closed in accordance with the practice under	Ex parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.											
Disposition of Claims													
4) Claim(s) <u>1-3,5,6,8-11,13,14,16 and 26-32</u> is/a	<ul><li>✓ Claim(s) 1-3,5,6,8-11,13,14,16 and 26-32 is/are pending in the application.</li><li>4a) Of the above claim(s) is/are withdrawn from consideration.</li></ul>												
4a) Of the above claim(s) is/are withdra													
5) Claim(s) <u>1-3,5,6,8-11,14,16,27 and 28 (renum</u>	5)⊠ Claim(s) <u>1-3,5,6,8-11,14,16,27 and 28 (renumbered claim 27)</u> is/are allowed. 5)⊠ Claim(s) <u>29,30 and 32</u> is/are rejected.												
7) Claim(s) 31 (re-numbered claim 30) is/are obj													
8) Claim(s) are subject to restriction and/o	or election requirement.	•											
Application Papers	·												
9)⊠ The specification is objected to by the Examine	er. <sup>'</sup>	·											
)⊠ The drawing(s) filed on <u>18 April 2005</u> is/are: a)⊠ accepted or b)⊡ objected to by the Examiner.													
Applicant may not request that any objection to the	* ' '	· ·											
Replacement drawing sheet(s) including the correct	• • • • • • • • • • • • • • • • • • • •	, ,											
11) The oath or declaration is objected to by the E	xaminer. Note the attached Office	Action or form PTO-152.											
Priority under 35 U.S.C. § 119		·											
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.													
							3. Copies of the certified copies of the priority documents have been received in this National Stage						
							application from the International Burea						
* See the attached detailed Office action for a list of the certified copies not received.													
		Kambiz Land											
Attachment(s)		Kambiz Zand											
1) X Notice of References Cited (PTO-892)	4) 🔲 Interview Summary	(PTO-413)											
2)	Paper No(s)/Mail Da	ate atent Application (PTO-152)											
Paper No(s)/Mail Date <u>04/18/05</u> .	6) Other:												

Application/Control Number: 10/715,035 Page 2

Art Unit: 2132

#### **DETAILED ACTION**

1. The text of those sections of Title 35,U.S.Code not included in this section can be found in the prior office action.

- 2. The prior office actions are incorporated herein by reference. In particular, the observations with respect to claim language, and response to previously presented arguments.
- 3. Examiner withdraws objection to the drawings and specification due to correction by the applicant.
- 4. Examiner withdraws rejection of claims 1-26 under 35 U.S.C 112-second paragraphs due to correction by the applicant.
- 5. Claims 4, 7, 12, 15 and 17-25 have been cancelled.
- 6. Claims 1, 5, 8, 9, 13, 14 and 16 have been amended.

# Claim Objections

7. Claim 26 is objected to because of the following informalities:

Claim 26 depends on the cancelled claim 22, new amendment have not included the original claim 26 (see original claims filed) which examiner considers as a typo error. Examiner suggests the following corrections:

- a) Claim 26: cancelled.
- b) New claims 26-31 filed on 05/16/2005 be re-numbered as claims 27-32 in order to overcome the objections to the specification (see below). Corrections are required.

Application/Control Number: 10/715,035

Art Unit: 2132

## Specification

Page 3

8. The numbering of claims is not in accordance with 37 CFR 1.126 which requires the original numbering of the claims to be preserved throughout the prosecution. When claims are canceled, the remaining claims must not be renumbered. When new claims are presented, they must be numbered consecutively beginning with the number next following the highest numbered claims previously presented (whether entered or not). Misnumbered claims 26-31 have been renumbered as claims 27-32.

### Response to Arguments

9. Applicant's arguments with respect to the claims have been considered but are most in view of the new ground(s) of rejection.

### Claim Rejections - 35 USC § 103

10. Claims 29 and 32 (re-numbered claims 28 and 31 filed on 05/16/2005) are rejected under 35 U.S.C. 103(a) as being unpatentable over Wiser et al (6,385,596 B1) in view of Paget (The security behind Secure Extranets, Enterprise System Journal, 14, 12, 74, December 1999); and further in view of Hasegawa (6,632,992 B2).

As per claim 29 and 32 Wiser et al (6,385,596 B1) teach a method, system of securing

Application/Control Number: 10/715,035

Art Unit: 2132

a hard drive (see fig.1b, blocks 106, 120 and 130; col.4, lines 3-13; abstract), comprising: detecting the key with the receiver/decoder circuit; validating the detected key with the receiver/decoder circuit; and if the detected key is validated, giving access to a file by decrypting files with the receiver/decoder circuit using the detected key, whereby the decrypted file can be played back with the playback mechanism (see abstract; fig. 2 and 4 and associated text; col.3, lines 5-10; col.4, lines 15-18 where the passport corresponds to Applicant's key; col.4, lines 12-27, 51-67; col.5, lines 11-17; col.8, lines 46-56 where decryption process is being done and where the decoding or decrypting/encryption or encoding circuit is inherent part of the system since the fig.2 and 4 and associated text disclose the act of encryption and decryption; Also see the entire reference for more detail) but do not explicitly disclose the key is a physical, portable key. However Paget (The security behind Secure Extranets, Enterprise System Journal, 14, 12, 74, December 1999) disclose the key is a physical portable key (see the entire reference pages with close attention to where the passport and digital certificate that contains the key are installed on a smart card on the second page where examiner considers such smart card as corresponding to Applicant's physical, portable key). It would have been obvious to one of ordinary skilled in the art at the time the invention was made to utilize Paget's portable key such as the smart card in Wiser's secure access to on-line music files on the storage (hard disk) in order to extends extranet access and authority to users based on their roles and business privileges, while ensuring confidentiality and integrity of the data users send, receive and access.

Page 5

Art Unit: 2132

Wiser et al (6, 385, 596 B1) in view of Paget (The security behind Secure Extranets, Enterprise System Journal, 14, 12, 74, December 1999) do not explicitly disclose wireless communication. However Hasegawa (6,632,992 B2) wireless communication (see col.3, lines 22-39). It would have been obvious to one of ordinary skilled in the art at the time the invention was made to utilize Hasegawa's wireless communication in Wiser's secure access to on-line music files on the storage (hard disk) in view of Paget's portable key such as the smart card in order to extends extranet access and authority to users based on their roles and business privileges, while ensuring confidentiality and integrity of the data users send, receive and access without the use of wires or cables.

11. Claim 30 (re-numbered claim 29 filed on 05/16/2005) is rejected under 35 U.S.C. 103(a) as being unpatentable over Wiser et al (6,385,596 B1) in view of Paget (The security behind Secure Extranets, Enterprise System Journal, 14, 12, 74, December 1999) in view of Hasegawa (6,632,992 B2); and further in view of Blair (5,187,352 A).

As per claim 30 Wiser et al (6,385,596 B1) in view of Paget (The security behind Secure Extranets, Enterprise System Journal, 14, 12, 74, December 1999); and further in view of Hasegawa (6,632,992 B2) teach all limitation of the claim as applied to claim 29 above but do not explicitly disclose enabling and disabling of the hard disk. However Blair (5,187,352 A) disclose enabling and disabling of the hard disk (see abstract; fig.4

Application/Control Number: 10/715,035 Page 6

Art Unit: 2132

and associated text; col.5, lines 36-48). It would have been obvious to one of ordinary skilled in the art at the time the invention was made to utilize Blair's enabling/disabling mechanism and method in Wiser's secure access to on-line music files on the storage (hard disk) in view of Paget's portable key such as the smart card in order to extends extranet access and authority to users based on their roles and business privileges, while ensuring confidentiality and integrity of the data users send, receive and access without the use of wires or cables incorporating Hasegawa's wireless communication in order to control read/write to the hard drive.

### Allowable Subject Matter

- **12**. **Claims 1-3, 5, 6, 8-11, 13, 14, 16 and 27-28** (re-numbered claims 26-27 filed on 05/16/2005) are allowed.
- 13. Claim 31 (re-numbered claim 30 filed on 05/16/2005) is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Conclusion

14. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

Art Unit: 2132

§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

- 15. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.
- 16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kambiz Zand whose telephone number is (571) 272-3811. The examiner can normally reached on Monday-Thursday (8:00-5:00). If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on (571) 272-3799. The fax phone numbers for the organization where this application or proceeding is assigned as (571) 273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you

Application/Control Number: 10/715,035

Art Unit: 2132

have questions on access to the Private PAIR system, contact the Electronic Business

Page 8

Center (EBC) at 866-217-9197 (toll-free).

Kambiz Zand

07/27/2005

Au2132

on/27/05 piz APR 1 8 2005 TA TRADEN 1/13 Phusical USER REQUESTS KEY WITH KEY CODE -10 FROM KEY PROVIDER FIG. 1 KEY PROVIDER **ESTABLISHES NEW** -12 USER ACCOUNT Physical KEY PROVIDER SENDS KEY -14 TO USER PLAYING DEVICE USER REQUESTS TO DECRYPTS AND PLAYS PURCHASE DIGITAL DIGITAL CONTENT IF - 30 -16 CONTENT FROM KEY CODE MATCHES CONTENT PROVIDER UNLOCK CODE CONTENT PROVIDER PLAYING DEVICE REQUESTS KEY READS KEY CODE -18 -28 PROVIDER TO AND UNLOCK CODE VALIDATE KEY Physical **USER ENTERS** KEY PROVIDER VERIFIES KEY DIGITAL CONTENT -26 -20 INTO PLAYING DEVICE IF VALID CONTENT PROVIDER CONTENT PROVIDER -22 -24 DELIVERS ENCRYPTED PULLS AND ENCRYPTS DIGITAL CONTENT DIGITAL CONTENT

WITH UNLOCK CODE

TO USER